

# Existence of radial bounded solutions for some quasi-linear elliptic equations in $\mathbb{R}^N$

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# Outline

1 A quasilinear elliptic equation

2 Variational setting

3 wCPS

4 Existence of solutions

# The elliptic problem

We look for (weak) solutions of the quasilinear elliptic equation

$$(P) \quad -\operatorname{div} (A(x, u)|\nabla u|^{p-2}\nabla u) + \frac{1}{p}A_t(x, u)|\nabla u|^p + |u|^{p-2}u = g(x, u) \quad \text{in } \mathbb{R}^N$$

where

- ▶  $N \geq 3$ ,  $p > 1$ ;
- ▶  $A : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a  $C^1$ -Carathéodory function, i.e.  $A(\cdot, t)$  is measurable for all  $t \in \mathbb{R}$  and  $A(x, \cdot)$  is  $C^1$  for a.e.  $x \in \mathbb{R}^N$  with  $A_t(x, t) = \frac{\partial A}{\partial t}(x, t)$ ;
- ▶  $g : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function, i.e.  $g(\cdot, t)$  is measurable for all  $t \in \mathbb{R}$  and  $g(x, \cdot)$  is continuous for a.e.  $x \in \mathbb{R}^N$ .

If  $A(x, t) \equiv 1$  and  $p = 2$ :

$$-\Delta u + u = g(x, u) \quad \text{in } \mathbb{R}^N$$

# Variational setting

The natural action functional associated to problem (P) is

$$J(u) = \frac{1}{p} \int_{\mathbb{R}^N} A(x, u) |\nabla u|^p \, dx + \frac{1}{p} \int_{\mathbb{R}^N} |u|^p \, dx - \frac{1}{p} \int_{\mathbb{R}^N} G(x, u) \, dx$$

(here  $G(x, t) = \int_0^t g(x, s) \, ds$ )

which is not defined in  $W^{1,p}(\mathbb{R}^N)$  for a general coefficient  $A$  in the principal part. We note that if  $0 < \alpha_* \leq A(x, t) \leq \alpha_2$  but with  $\frac{\partial A}{\partial t}(x, t) \neq 0$ , functional  $J$  is well defined in  $W^{1,p}(\mathbb{R}^N)$  but it is Gâteaux differentiable only along directions in the Banach space  $X = W^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ .

Anyway, under suitable assumptions,  $J$  is  $C^1$  in  $X$  equipped with

$$\|u\|_X = \|u\|_W + |u|_\infty$$

where  $\|u\|_W = (|\nabla u|_p^p + |u|_p^p)^{\frac{1}{p}}$  and  $|u|_\infty = \operatorname{ess\,sup}_{\mathbb{R}^N} |u|$ .

# Variational setting

More precisely, assume that:

**(H<sub>1</sub>)**  $A(x, t)$  and  $A_t(x, t)$  are essentially bounded if  $t$  is bounded, *i.e.*

$$\sup_{|t| \leq r} |A(\cdot, t)| \in L^\infty(\mathbb{R}^N), \quad \sup_{|t| \leq r} |A_t(\cdot, t)| \in L^\infty(\mathbb{R}^N) \quad \text{for any } r > 0;$$

**(G<sub>1</sub>)**  $a_1, a_2 > 0$  and  $q \geq p$  exist such that

$$|g(x, t)| \leq a_1 |t|^{p-1} + a_2 |t|^{q-1} \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R}.$$

The following regularity result holds:

# Regularity result

## Proposition (Regularity Result)

Assume that  $(H_1)$  and  $(G_1)$  hold. If  $(u_n)_n \subset X$ ,  $u \in X$ ,  $M > 0$  are such that

- ▶  $\|u_n - u\|_W \rightarrow 0$ ,  $u_n \rightarrow u$  a.e. in  $\mathbb{R}^N$  if  $n \rightarrow +\infty$ ,
- ▶  $|u_n|_\infty \leq M$  for all  $n \in \mathbb{N}$ ,

then

$$J(u_n) \rightarrow J(u) \quad \text{and} \quad \|dJ(u_n) - dJ(u)\|_{X'} \rightarrow 0,$$

where for any  $u, v \in X$  we have

$$\begin{aligned} \langle dJ(u), v \rangle &= \int_{\mathbb{R}^N} A(x, u) |\nabla u|^{p-2} \nabla u \cdot \nabla v \, dx + \frac{1}{p} \int_{\mathbb{R}^N} A_t(x, u) v |\nabla u|^p \, dx \\ &\quad + \int_{\mathbb{R}^N} |u|^{p-2} u v \, dx - \int_{\mathbb{R}^N} g(x, u) v \, dx. \end{aligned}$$

# Remarks

## Remark

From the previous proposition it follows that

- ▶  $J \in C^1(X, \mathbb{R})$
- ▶ Critical points of  $J$  in  $X$  are bounded weak solutions of problem (P).

## Remark

Functional  $J$  does not verify the classical Palais-Smale condition in  $X$  as Palais-Smale sequences may exist which are unbounded in  $X$  but converge in the  $W^{1,p}(\mathbb{R}^N)$ -norm.

[Candela - Palmieri, *Calc. Var.* 2017]

# wCPS condition: definition

Taking  $\beta \in \mathbb{R}$ , a sequence  $(u_n)_n \subset X$  is a  $(\text{CPS})_\beta$ -sequence if

$$\lim_n J(u_n) = \beta \quad \text{and} \quad \lim_n \|dJ(u_n)\|_{X'}(1 + \|u_n\|_X) = 0.$$

## Definition

Functional  $J$  satisfies a weak version of the Cerami's variant of Palais-Smale condition at level  $\beta$  ( $\beta \in \mathbb{R}$ ), briefly  $(\text{wCPS})_\beta$  condition, if taking any  $(\text{CPS})_\beta$ -sequence  $(u_n)_n$  a point  $u \in X$  exists such that

- (i)  $\lim_n \|u_n - u\|_W = 0$  (up to subsequences),
- (ii)  $J(u) = \beta, \quad dJ(u) = 0$



# wCPS condition: remarks

This weaker compactness condition is enough to prove a Deformation Lemma and then some abstract critical point theorems.

## Theorem (generalized version of the Mountain Pass Theorem)

Let  $J \in C^1(X, \mathbb{R})$  be such that  $J(0) = 0$  and the (wCPS) condition holds in  $\mathbb{R}$ .

Moreover, assume that two constants  $r, \rho > 0$  and a point  $e \in X$  exist such that

$$u \in X, \|u\|_W = r \implies J(u) \geq \rho$$

$$\|e\|_W > r \quad \text{and} \quad J(e) < \rho.$$

Then,  $J$  has a Mountain pass critical point  $u^* \in X$  such that  $J(u^*) \geq \rho$ .

[Candela, Palmieri *Discrete and Continuous Dynam. Systems, Suppl.*, 2009]

# Main result

We consider the space

$$X_r = W_r^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$$

endowed with  $\|\cdot\|_X$  and from now on, for simplicity, we still denote by  $J$  the restriction of  $J$  to  $X_r$ .

## Theorem (Candela-Salvatore, *Nonlinear Anal.* 2020)

Assume that  $A(x, t)$  and  $g(x, t)$  satisfy  $(H_1)$ ,  $(G_1)$  and some positive constants  $\alpha_0$ ,  $\alpha_1$ ,  $\alpha_2$ ,  $R$  and  $\mu > p$  exist s.t.

$$(H_2) \quad A(x, t) \geq \alpha_0 \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R},$$

$$(H_3) \quad pA(x, t) + A_t(x, t)t \geq \alpha_1 A(x, t) \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R},$$

$$(H_4) \quad (\mu - p)A(x, t) - A_t(x, t)t \geq \alpha_2 A(x, t) \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R},$$

$$(H_5) \quad A(x, t) = A(|x|, t) \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R},$$

$$(G_2) \quad \lim_{t \rightarrow 0} \frac{g(x, t)}{|t|^{p-1}} = 0 \quad \text{uniformly for a.e. } x \in \mathbb{R}^N,$$

$$(G_3) \quad 0 < \mu G(x, t) \leq g(x, t) \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R},$$

$$(G_4) \quad g(x, t) = g(|x|, t) \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R}.$$

Then, if  $p < q < p^*$ ,  $(P)$  has at least one weak bounded nontrivial radial solution.

# Main result

## Remark

If we consider the particular coefficient

$$A(x, t) = A_1(x) + A_2(x)|t|^\gamma$$

with  $A_1, A_2 \in L^\infty(\mathbb{R}^N)$ , then the previous assumptions on  $A$  are verified if

$$\gamma > 1, \quad A_1(x) \geq \alpha_0, \quad A_2(x) \geq 0 \quad \text{a.e. in } \mathbb{R}^N, \quad A_1, A_2 \text{ radially symmetric.}$$

In particular, if  $A(x, t) = 1$ , we obtain the following result:

## Corollary

Assume that  $g(x, t)$  verify  $(G_1) - (G_4)$ . Then, if  $p < q < p^*$ , the  $p$ -Laplacian equation

$$-\operatorname{div}(|\nabla u|^{p-2}\nabla u) + |u|^{p-2}u = g(x, u) \quad \text{in } \mathbb{R}^N$$

has at least one weak bounded nontrivial radial solution.

# Proof of the main result

By adapting the arguments in **Bérestycki-Lions**, *Arch. Ration. Mech. Anal.* 1983, the following results can be stated:

## Proposition (Radial Lemma)

If  $p > 1$ , then every radial function  $u \in W_r^{1,p}(\mathbb{R}^N)$  is almost everywhere equal to a function  $U(x)$ , continuous for  $x \neq 0$ , s.t.

$$|U(x)| \leq C \frac{\|u\|_W}{|x|^\theta} \quad \text{for all } x \in \mathbb{R}^N \text{ with } |x| \geq 1$$

for suitable constants  $C, \theta > 0$  depending only on  $N$  and  $p$ .

## Proposition (Compact Imbedding)

If  $p > 1$ , then the following compact imbeddings hold:

$$W_r^{1,p}(\mathbb{R}^N) \hookrightarrow L^\ell(\mathbb{R}^N) \quad \text{for any } p < \ell < p^*.$$

# Proof of the main Theorem

In order to apply the Generalized Version of the Mountain Pass Theorem, we need to prove that  $J$  verifies the (wCPS) condition in  $\mathbb{R}$  if  $p < q < p^*$ .

Let  $(u_n)_n$  be a  $(\text{CPS})_\beta$ -sequence ( $\beta \in \mathbb{R}$ ). It is easy to prove that  $(u_n)_n$  is bounded in  $W_r^{1,p}(\mathbb{R}^N)$  then, from the Radial Lemma, an uniform estimate holds, *i.e.*

$$|u_n(x)| \leq \beta_0 \quad \text{for a.e. } x \in \mathbb{R}^N, \quad |x| \geq 1, \quad \text{for all } n \in \mathbb{N}.$$

Moreover, there exist  $u \in W_r^{1,p}(\mathbb{R}^N)$  s.t., up to subsequences,

$$\begin{aligned} u_n &\rightarrow u && \text{weakly in } W_r^{1,p}(\mathbb{R}^N) \\ u_n &\rightarrow u && \text{strongly in } L^\ell(\mathbb{R}^N) \text{ for each } \ell \in ]p, p^*[ \\ u_n &\rightarrow u && \text{a.e. in } \mathbb{R}^N. \end{aligned}$$

Clearly, the Radial Lemma implies that

$$\operatorname{ess\,sup}_{|x| \geq 1} |u(x)| \quad \text{is finite.}$$

# Existence of solutions

Furthermore, adapting the arguments developed by **Candela-Palmieri** (*Advanced Nonlinear Stud.* 2006, *Calculus of Variations* 2009) we prove that

- ▶  $u$  is bounded on the bounded sets, hence  $u \in L^\infty(\mathbb{R}^N)$
- ▶  $\|u_n - u\|_W \rightarrow 0$  if  $n \rightarrow \infty$
- ▶  $J(u) = \beta$  and  $dJ(u) = 0$ ,

*i.e.*  $(wCPS)_\beta$  holds.

Finally, we note that  $J$  has the Mountain Pass geometry, then a mountain pass critical point of  $J$  in  $X_r$  exists and the existence of at least one nontrivial radial bounded solution follows.

# Papers in preparation

- ▶ **A. M. Candela, G. Palmieri, A. Salvatore**

*Existence of solutions of Modified Schrödinger equations on unbounded domains*

- ▶ **A. M. Candela, A. Salvatore, C. Sportelli**

*Existence and multiplicity results for some weighted quasilinear elliptic equation in  $\mathbb{R}^N$*

**Thank you for your attention**