# Meet preservers between lattices of real-valued continuous functions

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"Whenever you have to do with a structure-endowed entity  $\Sigma$  try to determine its group of automorphisms, the group of those element-wise transformations which leave all structural relations undisturbed. You can expect to gain a deep insight into the constitution of  $\Sigma$  in this way."

Hermann Weyl, 1952



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It is an algebra over  ${\mathbb C}$  when equipped with the usual point-wise operations

 $(\lambda f)(x) = \lambda f(x), \quad (f + g)(x) = f(x) + g(x), \quad (f \cdot g)(x) = f(x) \cdot g(x)$ 

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Furthermore, when equipped with the uniform norm

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With so much structure, there are many **preserver problems** that can be considered.

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# Theorem (Banach<sup>1</sup>-Stone<sup>2</sup>)

Let  $T: C(X) \rightarrow C(Y)$  be a surjective, linear, and

$$||T(f) - T(g)|| = ||f - g||$$

for all  $f, g \in C(X)$ . Then |T(1)| = 1 and there exists a homeomorphism  $\psi \colon Y \to X$  such that

$$T(f) = T(1) \cdot (f \circ \psi)$$

for all  $f \in C(X)$ .

<sup>&</sup>lt;sup>1</sup>S. Banach, "Théorie des opérations linéarse," Chelsea, Warsaw, 1932

<sup>&</sup>lt;sup>2</sup>M. Stone, "Applications of the theory of boolean rings in topology," Trans. Amer. Math. Soc., Vol. 41 (1937), pp. 375–481.

Given an  $f \in C(X)$ , we denote the range of f by

$$\mathsf{Ran}(f) = \{f(x) \colon x \in X\}$$

Theorem (Molnár<sup>3</sup>)

Let  $T: C(X) \rightarrow C(Y)$  be surjective and

$$\operatorname{Ran}(T(f) \cdot T(g)) = \operatorname{Ran}(f \cdot g)$$

for all  $f, g \in C(X)$ . Then  $[T(1)]^2 = 1$  and there exists a homeomorphism  $\psi \colon Y \to X$  such that

$$T(f) = T(1) \cdot (f \circ \psi)$$

for all  $f \in C(X)$ .

<sup>3</sup>L. Molnár, "Some Characterizations of the Automorphisms of B(H) and C(X)," Proc. Amer. Math. Soc., Vol. 130 (2001), pp. 111-120.

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Given an  $f \in C(X)$ , we define

$$\operatorname{\mathsf{Ran}}_{\pi}(f) = \{\lambda \in \operatorname{\mathsf{Ran}}(f) \colon |\lambda| = \|f\|\}$$

Theorem (Hatori-Miura-Takagi<sup>4</sup> and Luttman-Tonev<sup>5</sup>) Let  $T: C(X) \rightarrow C(Y)$  be surjective and

 $\operatorname{\mathsf{Ran}}_{\pi}(T(f) \cdot T(g)) = \operatorname{\mathsf{Ran}}_{\pi}(f \cdot g)$ 

for all  $f, g \in C(X)$ . Then  $[T(1)]^2 = 1$  and there exists a homeomorphism  $\psi \colon Y \to X$  such that

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for all  $f \in C(X)$ .

<sup>5</sup>A. Luttman and T. Tonev, "Uniform Algebra Isomorphisms and Peripheral Multiplicativity," Proc. Amer. Math. Soc., Vol. 135 (2007), pp. 3589-3598.

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<sup>&</sup>lt;sup>4</sup>O. Hatori, T. Miura, and H. Takagi, "Characterization of Isometric Isomorphisms Between Uniform Algebras via Non-linear Range Preserving Properties," Proc. Amer. Math. Soc., Vol. 134 (2006), pp. 2923-2930.

Theorem (Hatori-Hirasawa-Miura<sup>6</sup>)

Let  $T: C(X) \rightarrow C(Y)$  be surjective and

$$\operatorname{Ran}_{\pi}(T(f) + T(g)) = \operatorname{Ran}_{\pi}(f + g)$$

for all  $f, g \in C(X)$ . Then there exists a homeomorphism  $\psi \colon Y \to X$  such that

$$T(f)=f\circ\psi$$

for all  $f \in C(X)$ .

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<sup>&</sup>lt;sup>6</sup>O. Hatori, G. Hirasawa, and T. Miura, "Additively spectral-radius preserving surjections between unital semisimple commutative Banach algebras," Cent. Eur. J. Math., Vol. 8 (2010), pp. 59-601

In light of the previous results, we can posit the following:

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Question

Suppose that  $\odot$  is a binary operation for continuous functions. What can be said about a surjective  $T: C(X) \to C(Y)$  such that

$$\operatorname{\mathsf{Ran}}_{\pi}(T(f)\odot T(g)) = \operatorname{\mathsf{Ran}}_{\pi}(f\odot g)$$

for all  $f, g \in C(X)$ ?

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A partial ordering can be induced on  $C_{\mathbb{R}}(X)$  by using the usual ordering  $\leq$  of  $\mathbb{R}$  as follows:

 $f \leq g$  if and only if  $f(x) \leq g(x)$  for all  $x \in X$ 

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 $f \lor g$ 

and the infimum is called the meet and is written as

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Note that

 $(f \lor g)(x) = \max\{f(x), g(x)\}$  and  $(f \land g)(x) = \min\{f(x), g(x)\}$ 

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•  $C_{\mathbb{R}}(X)$  is a *lattice group* since  $f + h \leq g + h$  whenever  $f \leq g$ .

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- $C_{\mathbb{R}}(X)$  is a *Riesz space* as  $\alpha f \leq \alpha g$  whenever  $f \leq g$  and  $0 \leq \alpha$ .

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- $C_{\mathbb{R}}(X)$  is a Banach lattice since  $||f|| \le ||g||$  whenever  $|f| \le |g|$ .
- $C_{\mathbb{R}}(X)$  is an *f*-ring as
  - $0 \le f \cdot g$  whenever  $0 \le f$  and  $0 \le g$ .
  - given  $0 \le f$  and g and h with  $g \land h = 0$ , then  $(f \cdot g) \land h = 0$ .

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- $C_{\mathbb{R}}(X)$  is an *f*-ring as •  $0 \le f \cdot g$  whenever  $0 \le f$  and  $0 \le g$ . • given  $0 \le f$  and g and h with  $g \land h = 0$ , then  $(f \cdot g) \land h = 0$ .

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# Theorem (Kaplansky<sup>7</sup>)

Let  $T: C_{\mathbb{R}}(X) \to C_{\mathbb{R}}(Y)$  be bijective, continuous, and

 $T(f \wedge g) = T(f) \wedge T(g)$  and  $T(f \vee g) = T(f) \vee T(g)$ 

for all  $f, g \in C_{\mathbb{R}}(X)$ . Then there exists a homeomorphism  $\psi \colon Y \to X$  and a continuous  $\varphi \colon X \times \mathbb{R} \to \mathbb{R}$  such that

$$T(f)(x) = \varphi(x, f(\psi(x)))$$

for all  $f \in C_{\mathbb{R}}(X)$  and  $x \in X$ .

<sup>&</sup>lt;sup>7</sup>I. Kaplansky, "Lattices of continuous functions", Bull. Amer. Math. Soc., Vol. 53 (1947), pp. 617-623.

Theorem (Lee)

Let  $T: C_{\mathbb{R}}(X) \to C_{\mathbb{R}}(Y)$  be surjective such that

$$\operatorname{\mathsf{Ran}}_{\pi}(T(f) \wedge T(g)) = \operatorname{\mathsf{Ran}}_{\pi}(f \wedge g)$$

for all  $f, g \in C_{\mathbb{R}}(X)$ . Then there exists a homeomorphism  $\psi \colon Y \to X$  such that

$$T(f) = f \circ \psi$$

for all  $f \in C_{\mathbb{R}}(X)$  such that  $0 \leq f$ .

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• Given  $f \in C_{\mathbb{R}}(X)$ , we define the *maximizing set* of f by

$$M(f) = \{x \in X : |f(x)| = ||f||\}$$

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$$M(f) = \{x \in X : |f(x)| = ||f||\}$$

• Given  $\alpha \in (0,\infty)$ , an  $h \in C_{\mathbb{R}}(X)$  is said to be an  $\alpha$ -peaking function if

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We denote the collection of all such functions by

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We denote the collection of all such functions by

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• Given  $\alpha \in (0,\infty)$  and  $x \in X$ , we define

$$\mathcal{P}_X(\alpha, x) = \{h \in \mathcal{P}_X(\alpha) \colon h(x) = \alpha\}$$

Let  $\alpha \in (0, \infty)$ , and let  $h, k \in \mathcal{P}_X(\alpha)$  be such that  $M(h) \subset M(k)$ . Then  $M(T(h)) \subset M(T(k))$ 

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## Key ideas for the proof:

• For  $\alpha \in (0, \infty)$ , then  $h \in \mathcal{P}_X(\alpha)$  if and only if  $T(h) \in \mathcal{P}_Y(\alpha)$ . This follows immediately from  $\operatorname{Ran}_{\pi}(T(f) \wedge T(g)) = \operatorname{Ran}_{\pi}(f \wedge g)$  and the fact that  $f \wedge 0 = 0$  if and only if  $0 \leq f$ .

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## Key ideas for the proof:

- For  $\alpha \in (0, \infty)$ , then  $h \in \mathcal{P}_X(\alpha)$  if and only if  $T(h) \in \mathcal{P}_Y(\alpha)$ . This follows immediately from  $\operatorname{Ran}_{\pi}(T(f) \wedge T(g)) = \operatorname{Ran}_{\pi}(f \wedge g)$  and the fact that  $f \wedge 0 = 0$  if and only if  $0 \le f$ .
- Given α ∈ (0,∞), an x ∈ X, and h ∈ P<sub>X</sub>(α), then h ∈ P<sub>X</sub>(α, x) if and only if h ∧ k ∈ P<sub>X</sub>(α) for all k ∈ P<sub>X</sub>(α, x).

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Let  $\alpha \in (0,\infty)$ , and let  $x \in X$ . Then

$$\bigcap_{h\in\mathcal{P}_X(\alpha,x)}M(T(h))$$

is a singleton.

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is a singleton.

Key idea for the proof: Combine the previous lemma with the finite intersection property and with the fact that given  $\alpha \in (0, \infty)$  and  $h_1, \ldots, h_n \in \mathcal{P}_X(\alpha)$  such that

$$\bigcap_{k=1}^n M(h_k) \neq \emptyset$$

then

$$\bigwedge_{k=1}^n h_k \in \mathcal{P}_X(lpha)$$
 and  $M\left(\bigwedge_{k=1}^n h_k
ight) = \bigcap_{k=1}^n M(h_k).$ 

Let  $\alpha, \beta \in (0, \infty)$ , and let  $x \in X$ . Then

$$\bigcap_{h\in\mathcal{P}_X(\alpha,x)} M(T(h)) = \bigcap_{h\in\mathcal{P}_X(\beta,x)} M(T(h))$$

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Let  $\alpha, \beta \in (0, \infty)$ , and let  $x \in X$ . Then

$$\bigcap_{h\in\mathcal{P}_X(\alpha,x)} M(T(h)) = \bigcap_{h\in\mathcal{P}_X(\beta,x)} M(T(h))$$

Key idea for the proof: Let

$$\{y\} = \bigcap_{h \in \mathcal{P}_X(\alpha, x)} M(T(h)) \text{ and } \{z\} = \bigcap_{h \in \mathcal{P}_X(\beta, x)} M(T(h))$$

If  $y \neq z$ , there is an open neighborhood U of z with  $y \notin U$ . Choosing  $k \in \mathcal{P}_Y(\beta, z)$  with  $M(k) \subset U$  and scaling the  $h \in \mathcal{P}_X(\beta, x)$  with T(h) = k by  $\alpha/\beta$  will then lead to the contradictory  $y \in U$ .

We now have access to the mapping  $\tau \colon X \to Y$  such that

$$\bigcap_{h\in\mathcal{P}_X(\alpha,x)}M(T(h))=\{\tau(x)\},$$

where  $\alpha \in (0,\infty)$ .

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We now have access to the mapping  $\tau: X \to Y$  such that

$$\bigcap_{h\in\mathcal{P}_X(\alpha,x)}M(T(h))=\{\tau(x)\},$$

where  $\alpha \in (0,\infty)$ .

#### Lemma

Let  $x \in X$ , and let  $f \in C_{\mathbb{R}}(X)$  be such that  $0 \le f$ . Then  $T(f)(\tau(x)) = f(x)$ .

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#### Lemma

Let  $x \in X$ , and let  $f \in C_{\mathbb{R}}(X)$  be such that  $0 \le f$ . Then  $T(f)(\tau(x)) = f(x)$ .

Key idea for the proof: Let  $\varepsilon > 0$  and set  $\delta = f(x) + \varepsilon$ . We can find an  $h \in \mathcal{P}_X(\delta, x)$  with  $||f \wedge h|| < \delta$ . Since

$$\|T(f)\wedge T(h)\|=\|f\wedge h\|$$

and  $T(h) \in \mathcal{P}_{Y}(\delta, \tau(x))$ , it follows that

 $T(f)(\tau(x)) = \min\{T(f)(\tau(x)), T(h)(\tau(x))\} < \delta = f(x) + \varepsilon$ 

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The mapping  $\tau \colon X \to Y$  is a homeomorphism.

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The mapping  $\tau \colon X \to Y$  is a homeomorphism.

Key idea for injectivity: If  $\tau(x) = \tau(y)$  and  $x \neq y$ , take an open neighborhood U of x with  $y \notin U$ . Choosing  $h \in \mathcal{P}_X(1, x)$  with  $M(h) \subset U$ then yields the contradictory

$$h(y) = T(h)(\tau(y)) = T(h)(\tau(x)) = h(x) = 1$$

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Key idea for continuity: Let  $V \subset Y$  be open, and let  $x \in \tau^{-1}[V]$ . As  $\tau(x) \in V$ , we can find  $k \in \mathcal{P}_Y(1, \tau(x))$  with  $M(k) \subset V$ . There is an  $h \in \mathcal{P}_X(1, x)$  with T(h) = k, and it can be shown that

$$\{t \in X \colon \alpha < h(t)\} \subset \tau^{-1}[V]$$

where

$$\alpha = \max\{k(y) \colon y \in Y \setminus V\} < 1$$

Key idea for surjectivity: Let  $y \in Y$ . Suppose that  $y \notin \tau[X]$ . As X is compact and  $\tau$  is continuous, it follows that  $U = Y \setminus \tau[X]$  is open. We can then find a  $k \in \mathcal{P}_Y(1, y)$  with  $M(k) \subset U$ . There is an  $h \in \mathcal{P}_X(1)$  with T(h) = k and so any  $t \in M(h)$  then satisfies

$$1 = h(t) = T(h)(\tau(t)) = k(\tau(t)),$$

which gives the contradictory

$$au(t)\in M(k)\subset U=Y\setminus au[X]$$

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$$1 = h(t) = T(h)(\tau(t)) = k(\tau(t)),$$

which gives the contradictory

$$au(t) \in M(k) \subset U = Y \setminus au[X]$$

# Proof of Theorem.

Let  $\psi \colon Y \to X$  be the inverse of  $\tau$ . Given  $f \in C_{\mathbb{R}}(X)$  with  $0 \leq f$ , we have  $f = T(f) \circ \tau$  and so it follows that

$$T(f) = f \circ \psi$$

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Does  $T(f) = f \circ \psi$  hold for all  $f \in C_{\mathbb{R}}(X)$ ?

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For all of those involved with the organization and operation of this conference,

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# THANK YOU!

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