

# Colourful components in $k$ -caterpillars and planar graphs

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Janka Chlebíková,<sup>1</sup> Clément Dallard<sup>2</sup>

June 22<sup>nd</sup> 2021

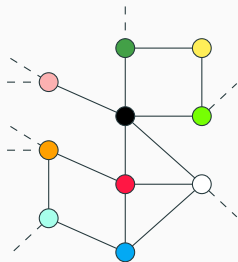
<sup>1</sup> University of Portsmouth, UK

<sup>2</sup> FAMNIT, University of Primorska, Slovenia



# Colourful components, colourful graphs and bad paths

We consider undirected graphs with colored vertices.

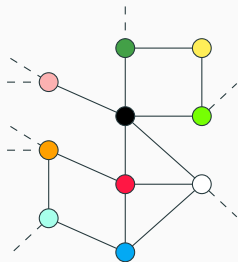


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## Some definitions

A *colourful component* is a *connected component* whose vertices have different colours.



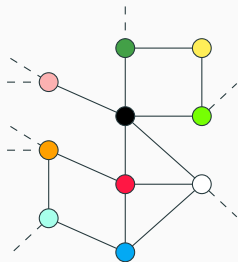
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A graph is *colourful* if all its connected components are colourful.



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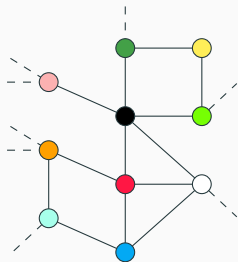
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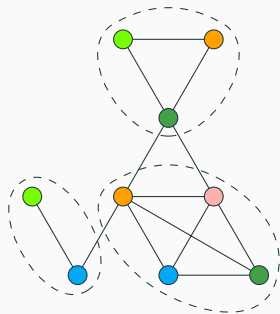
A graph is *colourful* if all its connected components are colourful.

A *bad path* is a path with endpoints of the same colour.



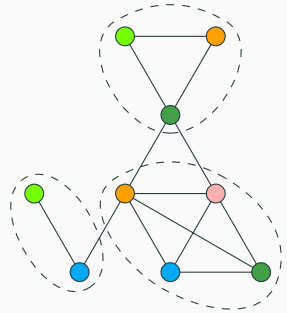
# Our problems

- ▶ **COLOURFUL COMPONENTS:**  
Are there at most  $p$  edges whose removal makes the graph colourful?
- ▶ **COLOURFUL PARTITION:**  
Is there a partition of the graph with at most  $p$  parts such that each part is a colourful component?



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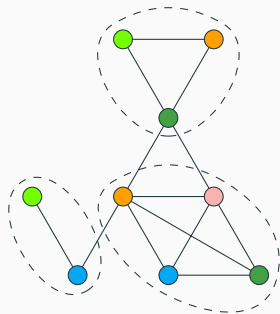


## Motivation

Partition a set of genes in *orthologous genes*, which are *sets of genes from different species* that have evolved through speciation events only.

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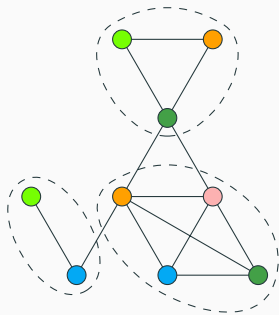
## Observation

**COLOURFUL COMPONENTS** and **COLOURFUL PARTITION** are linear-time equivalent on forests.



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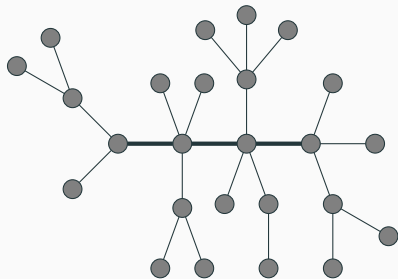
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**COLOURFUL COMPONENTS** and **COLOURFUL PARTITION** are linear-time equivalent on forests.

We can only consider connected graphs.

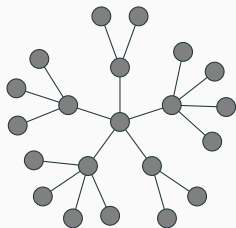
# $k$ -caterpillars

A  $k$ -caterpillar is a tree in which all the vertices are within distance  $k$  of a central path, called the *backbone*.



Example of a 2-caterpillar.

## Known results on trees with bounded diameter

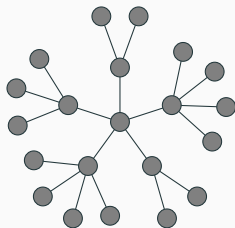


Example of a tree with diameter 4.

Theorem (Bruckner *et al.*, '12)

*COLOURFUL COMPONENTS* is NP-complete on trees with diameter 4.

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Corollary

*COLOURFUL COMPONENTS* is NP-complete on 2-caterpillars.

Theorem (Dondi and Sikora, '18)

*COLOURFUL COMPONENTS* is solvable in time  $\mathcal{O}(n^2)$  on paths with  $n$  vertices (trees with max. degree  $\leq 2$ ).

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*COLOURFUL COMPONENTS* is NP-complete on trees with maximum degree 6.

The complexity of *COLOURFUL COMPONENTS* on trees with maximum degree  $\leq 5$  was left open in the same paper.

# Our goal

We would like complexity dichotomies for **COLOURFUL COMPONENTS** on trees with respect to:

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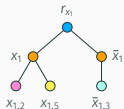
- the maximum degree  $d$  of the input tree,
- the smallest integer  $k$  such that the input tree is a  $k$ -caterpillar,
- both  $d$  and  $k$ .

# Bad news!

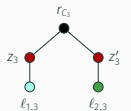
## Theorem (Chlebíková and D.)

*COLOURFUL COMPONENTS* is NP-complete on:

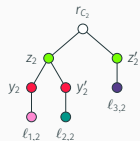
- 4-caterpillars with maximum degree  $\leq 3$ ,
- 3-caterpillars with maximum degree  $\leq 4$ , and
- 2-caterpillars with maximum degree  $\leq 5$ .



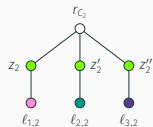
(a) Variable gadget of  $x_1$



(b) Clause gadget of type A of the clause  $C_3$



(c) Clause gadget of type B of the clause  $C_2$



(d) Clause gadget of type C of the clause  $C_2$

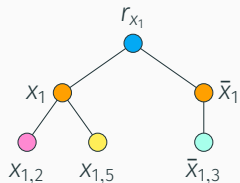
Reduction from 3,3-SAT ( $\leq 3$  var. per clause,  $\leq 3$  occurrences var.).

# Bad news!

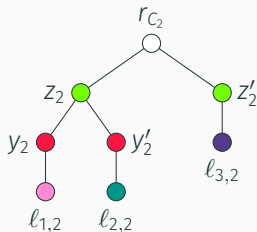
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*COLOURFUL COMPONENTS* is NP-complete on:

- 4-caterpillars with maximum degree  $\leq 3$ ,
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Variable gadget of  $x_1$



Clause gadget of  $C_2$  of type B  
of the clause  $C_2$

## But pseudocaterpillars are fine

### Definition

A *cyclic  $k$ -caterpillar* is a connected graph  $G$  with a unique cycle  $B$ , called the backbone, such that for any  $e \in E(B)$ , the graph  $G - e$  is a  $k$ -caterpillar.

A  *$k$ -pseudocaterpillar* is either a  $k$ -caterpillar or a cyclic  $k$ -caterpillar.

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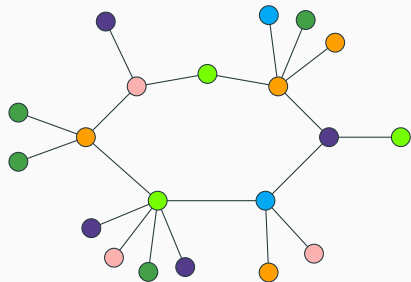
A  *$k$ -pseudocaterpillar* is either a  $k$ -caterpillar or a cyclic  $k$ -caterpillar.

## Theorem (Chlebíková and D.)

*COLOURFUL COMPONENTS* is can be solved in linear time on 1-pseudocaterpillars.

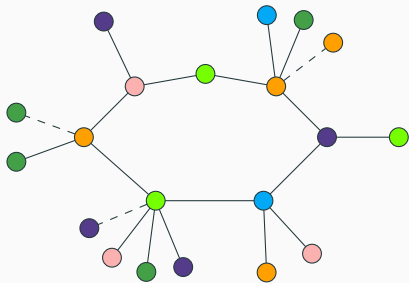
## Algorithm's idea

We can see the graph as a collection of stars that are connected via their internal vertices (in a path or cycle manner).



## Algorithm's idea

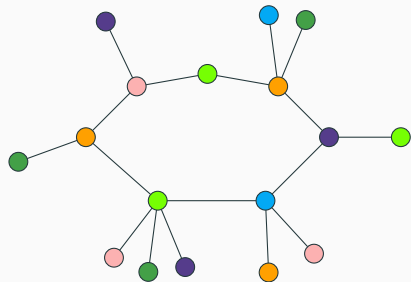
We preprocess the graph, store the removed edges and focus on the component containing the backbone.





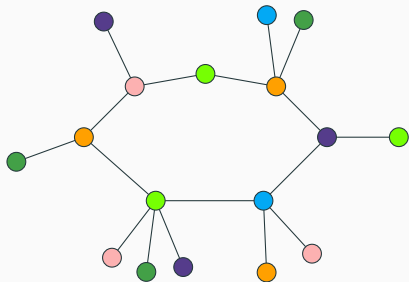
# Algorithm's idea

We obtain that every star is colourful.



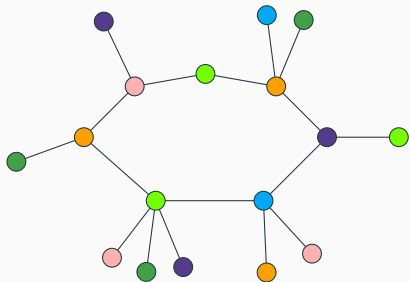
## Algorithm's idea

We can show that there exists an optimal solution (a set of edges) for which every edge belongs to the backbone.



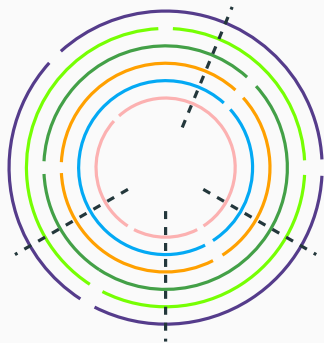
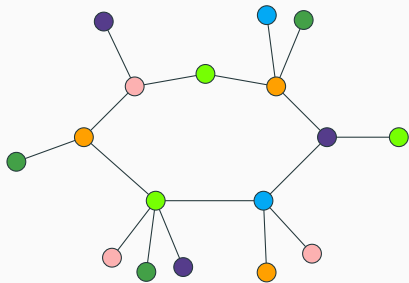
## Algorithm's idea

It is in fact enough to consider a specific kind of bad paths: the *critical bad paths*.



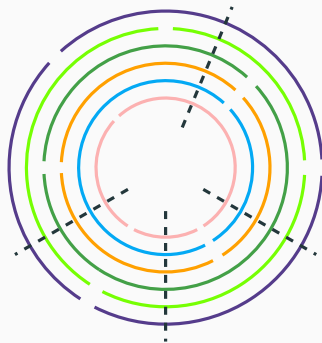
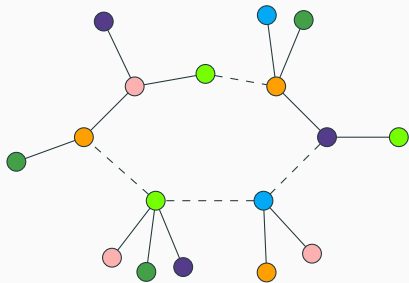
# Algorithm's idea

We represent the *critical bad paths* as arcs on the circle and compute in linear time (Hsu and Tsai, '91) a minimum clique cover on the circular-arc graph.



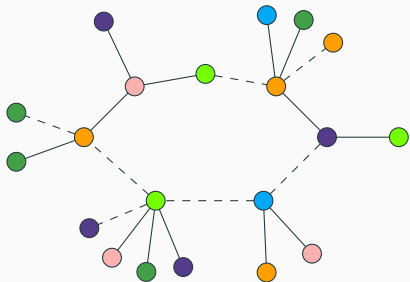
## Algorithm's idea

For each clique in the clique cover, we remove one corresponding edge in the backbone. These edges intersect every critical bad path.



## Algorithm's idea

Eventually, we return the union of the edges removed in the preprocessing and the newly obtained. This is an optimal solution.



# Recap

- ▶ NP-complete on 4-caterpillars with max. degree  $\leq 3$ .
- ▶ NP-complete on 3-caterpillars with max. degree  $\leq 4$ .
- ▶ NP-complete on 2-caterpillars with max. degree  $\leq 5$ .
- ▶ linear-time solvable on 1-pseudocaterpillars.

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- ▶ linear-time solvable on 1-pseudocaterpillars.

So we obtain two complexity dichotomies on trees:

- **COLOURFUL COMPONENTS** is linear-time solvable on trees with maximum degree  $d$  if  $d \leq 2$ , and NP-complete otherwise.
- **COLOURFUL COMPONENTS** is linear-time solvable on  $k$ -caterpillars if  $k \leq 1$ , and NP-complete otherwise.



## A complexity dichotomy in terms of $d$ and $k$ ?

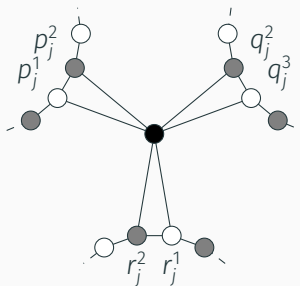
The complexity of COLOURFUL COMPONENTS is still open on:

- 2-caterpillars with max. degree  $\leq 4$ ,
- 2-caterpillars with max. degree  $\leq 3$ ,
- 3-caterpillars with max. degree  $\leq 3$ .

# Known results on planar graph with bounded degree

Theorem (Bruckner et al., '12)

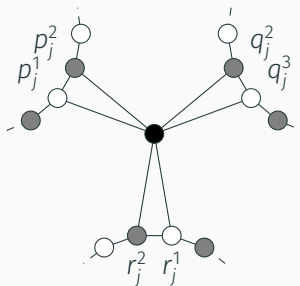
*COLOURFUL COMPONENTS* is NP-complete on 3-coloured planar graphs with maximum degree 6.



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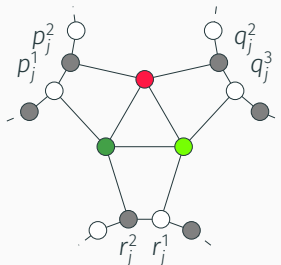


Question: Can the maximum degree be decreased while preserving NP-completeness (and bounded number of colours)?

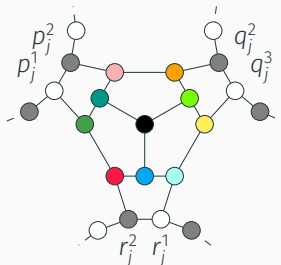
# Planar graphs with even smaller degrees (but more colours)

Theorem (Chlebíková and D.)

*COLOURFUL COMPONENTS* is NP-complete on 5-coloured planar graphs with maximum degree 4 and on 12-coloured planar graphs with maximum degree 3.



Gadget with vertices of degree 4.



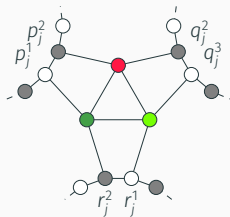
Gadget with vertices of degree 3.

This time, we reduce from PLANAR 3-SAT.

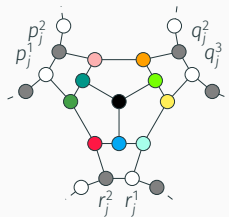
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Gadget with vertices of degree 4.



Gadget with vertices of degree 3.

There might still be room for improvement...

Eventually, we would like a complexity dichotomy based on the maximum degree and number of colours (in planar graphs).

Thank you!