

Striped patterns for generalized antiferromagnetic  
functionals with power law kernels of exponent  
smaller than  $d + 2$   
8th ECM

Alicja Kerschbaum

Friedrich-Alexander-Universität Erlangen-Nürnberg

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# Pattern formation

Spontaneous formation of regular structures is observed in nature at different scales

- ▶ Biology, Material Science
- ▶ Experiments and Simulations
- ▶ Examples: polymers, colloidal suspensions, Langmuir monolayers, thin magnetic films, amphiphile solutions
- ▶ Applications: memory storage device; artificial photonic crystals; biological devices for separation of biomolecules on microchips, nanolithography

# Pattern formation



**Figure:** Black-and-white striped zebra and polka-dot zebra [Caters News Agency Ltd.]

# Generalized antiferromagnetic model in sharp interface version

Striped patterns are expected to emerge as minimizers/ground states of the following free-energy functional

$$\tilde{\mathcal{F}}_{\alpha, J, L}(E) = \frac{1}{L^d} \left( \overbrace{J \operatorname{Per}_1(E, L)} - \underbrace{\int_{[0, L]^d} \int_{\mathbb{R}^d} K_{\alpha, 1}(\zeta) |\chi_E(x) - \chi_E(y)|}_{\text{interaction term}} \right)$$

1.  $J > 0$ ,  $J \in [J_C - \tau, J_C)$  where  $\tau > 0$  and  $J_C := \int_{\mathbb{R}^d} |\zeta| K_{\alpha, 1}(\zeta) d\zeta$  is critical constant above which the minimizers are trivial
2.  $\operatorname{Per}_1(E, [0, L]^d) := \int_{\partial E \cap [0, L]^d} \|\nu^E(x)\|_1 d\mathcal{H}^{d-1}(x)$ ,  
where  $\|y\|_1 = \sum_{i=1}^d |y_i|$  is the 1-norm
3.  $K_{\alpha, 1}$  is the power kernel,  
 $K_{\alpha, 1}(\zeta) = \frac{1}{(\|\zeta\|_1 + 1)^{p(\alpha)}}$ ,  $p(\alpha) = d + 2 - \alpha$

## Variety of cases

- $p = d - 2$  ( $\alpha = 4$ ) diblock copolymer
- $p = d$  ( $\alpha = 2$ ) micromagnetics
- $p = d + 1$  ( $\alpha = 1$ ) thin magnetic films
- $p > d + 1$  ( $\alpha < 1$ ) generalized antiferromagnetic model

Aim: to improve current results towards the physical cases

## Rescaled model

Rescale the functional in such a way that optimal stripes width and energy are of order  $O(1)$

$$\mathcal{F}_{\alpha,\tau,L}(E) := \begin{cases} \frac{1}{L^d} \left[ \text{Per}_1(E; [0, L]^d) \left( -1 + \int_{\mathbb{R}^d} K_{\alpha,\tau}(\zeta) |\zeta_1| d\zeta \right) \right. \\ \left. - \int_{\mathbb{R}^d} \int_{[0,L]^d} |\chi_E(x) - \chi_E(x + \zeta)| K_{\alpha,\tau}(\zeta) dx d\zeta \right] \end{cases} \quad (1)$$

where

$$K_{\alpha,\tau}(\zeta) = \frac{1}{(\|\zeta\|_1 + \tau^{1/(1-\alpha)})^{p(\alpha)}}. \quad (2)$$

## Striped pattern formation $\alpha \leq 0$ , $p \geq d + 2$

- ▶ Daneri and Runa '18 showed that, for  $p \geq d + 2$  (i.e.  $\alpha \leq 0$ ) global minimizers of  $\mathcal{F}_{\tau,L}$  are, when  $\tau$  is sufficiently small, periodic unions of stripes. Moreover, their results hold in the large volume limit, namely for arbitrarily large  $L$  whenever  $L$  is an even multiple of  $h_{\tau}^*$ , which is the optimal stripes' period among all possible periods.
- ▶ for the discrete analogue of the above functional and exponents  $p > 2d$  a characterization of minimizers was first proved by Giuliani, Seiringer '16
- ▶ one-dimensionality and periodicity for a diffuse interface version of the model for exponents  $\alpha \leq 0$  has been proved by Daneri, K., Runa '19 and Daneri, Runa '21 in the large volume limit

# Striped pattern formation $0 < \alpha \leq \bar{\alpha} \ll 1$

## Theorem (K. '21)

*Let  $d \geq 1$ ,  $L > 0$ . Then there exists  $0 < \bar{\alpha} \ll 1$  and  $\bar{\tau}_L > 0$  such that  $\forall 0 < \alpha \leq \bar{\alpha}$  and  $\forall 0 < \tau \leq \bar{\tau}_L$  the minimizers of the functional  $\mathcal{F}_{\alpha,\tau,L}$  are periodic unions of stripes of width  $h_{\tau,L,\alpha}$ .*

For  $\tau, \alpha > 0$ , let  $h_{\tau,\alpha}^*$  be optimal among all widths of stripes for  $\mathcal{F}_{\alpha,\tau,L}$  as  $L$  varies.

## Theorem (K. '21)

*There exists  $0 < \bar{\alpha} \ll 1$  and  $\bar{\tau} > 0$  such that  $\forall 0 < \alpha \leq \bar{\alpha}$ ,  $\forall 0 < \tau \leq \bar{\tau}$  and for all  $k \in \mathbb{N}$ ,  $L = 2kh_{\tau,\alpha}^*$ , minimizers of  $\mathcal{F}_{\alpha,\tau,L}$  are periodic stripes of width  $h_{\tau,\alpha}^*$ .*

## Idea for strategy of the proof

We know by the results of Daneri, Runa that minimizers for  $\alpha \leq 0$  and  $\tau$  small are stripes. Such a result is obtained by:

- ▶ for fixed  $\alpha \leq 0$  let  $\tau \rightarrow 0$ , a rigidity estimate ensures that minimizers of the limit functional are stripes
- ▶ once close to stripes, for  $\tau > 0$  show a stability result proving that minimizers are exactly stripes

Difficulties:

- ▶ for a fixed  $\alpha > 0$ , and  $\tau \rightarrow 0$  no rigidity result is available, this result is sharp for  $p = d + 2$ , ( $\alpha = 0$ )

Instead:

- ▶ we consider  $(\alpha, \tau) \downarrow (0, 0)$
- ▶ show  $\Gamma$ -convergence of  $\mathcal{F}_{\alpha, \tau, L}$  to  $\mathcal{F}_{0, 0, L}$ , where by the above  $\mathcal{F}_{0, 0, L}$  satisfies the rigidity estimate
- ▶ show a stability result for  $0 < \alpha < 1$ ,  $0 < \tau \ll 1$

## Strategy of the proof

1. Decomposition of the functional penalizing derivations from one-dimensional profiles
2.  $\Gamma$ -convergence as  $(\alpha, \tau) \downarrow (0, 0)$  to a functional which is finite only on stripes
3. Stability estimates for  $0 < \alpha < 1$ ,  $0 < \tau \ll 1$  showing that once close to stripes minimizers are exactly stripes
4. One-dimensional optimization through reflection positivity showing periodicity

## Decomposition of the functional

$$\mathcal{F}_{\alpha,\tau,L}(E) \geq \frac{1}{L^d} \left( - \sum_{i=1}^d \text{Per}_{1i}(E, [0, L]^d) + \sum_{i=1}^d \mathcal{G}_{\alpha,\tau,L}^i(E) + \sum_{i=1}^d \mathcal{I}_{\alpha,\tau,L}^i(E) \right).$$

Splitting of functional into three parts, where  $\text{Per}_{1i}$  and  $\mathcal{G}_{\alpha,\tau,L}^i$  depend only on oscillations of the characteristic function of  $E$  along the direction  $e_i$  (“one-dimensional” terms) and  $\mathcal{I}_{\alpha,\tau,L}^i$  is a cross-interaction term given by

$$\frac{2}{d} \int_{[0,L]^d \times \mathbb{R}^d} K_{\alpha,\tau}(\zeta) |\chi_E(x) - \chi_E(x + \zeta_i)| |\chi_E(x) - \chi_E(x + \zeta_i^\perp)| d\zeta dx$$

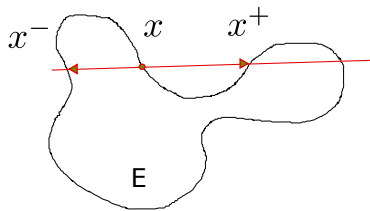
## One-dimensional estimates

Let  $E \subset \mathbb{R}$  an  $L$ -periodic set. Then,

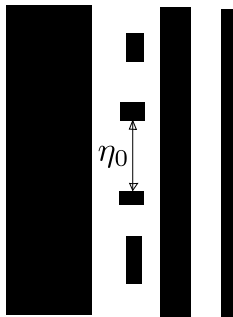
$$\begin{aligned} -\text{Per}_{1,i}(E, [0, L]) + \mathcal{G}_{\alpha, \tau, L}^{1d,i}(E) &\geq \\ &\geq \sum_{x \in \partial E \cap [0, L]} -1 + C_{\alpha}^1 C_{\alpha}^2 (\min((x^+ - x)^{-(1-\alpha)}, \tau^{-1}) \\ &\quad + \min((x - x^-)^{-(1-\alpha)}, \tau^{-1})), \end{aligned}$$

where

$$\begin{aligned} x^+ &= \inf\{x^+ \in \partial E, \text{ with } x^+ > x\}, \\ x^- &= \sup\{x^- \in \partial E, \text{ with } x^- < x\} \\ \text{and } \min_{\alpha < 1} C_{\alpha}^1 C_{\alpha}^2 &> \bar{C} > 0. \end{aligned}$$



(a)



(b)

Fix any  $\bar{\alpha} < 1$  and any  $M > 0$ . Then there exists  $\eta_0 = \eta_0(M, \bar{\alpha})$  such that whenever

$$\exists x \in \partial E : |x - x^-|, |x - x^+| < \eta_0,$$

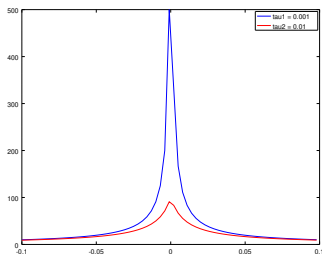
then for any  $\alpha \leq \bar{\alpha}$

$$-Per_{1,i}(E) + \mathcal{G}_{\alpha,\tau,L}^{1d,i}(E) > M > 0.$$

## Monotonicity of kernel

It is used to show  $\Gamma$ -convergence as  $(\alpha, \tau) \downarrow (0, 0)$ .

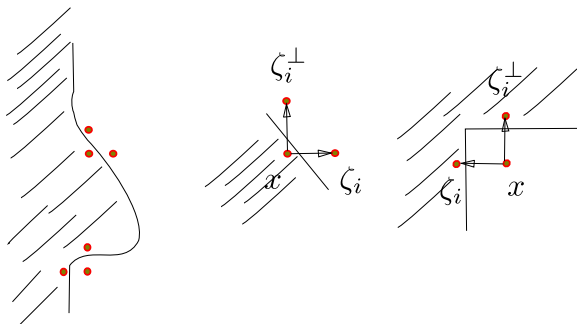
Various  $K_{\alpha, \tau}$



Let  $\bar{\tau}, \tau, \bar{\alpha}, \alpha \in (0, 1)$ . Assume  $\bar{\alpha} > \alpha$  and  $\bar{\tau} > \tau > 0$ . Then  $\hat{K}_{\bar{\tau}, \alpha}(z) < \hat{K}_{\tau, \alpha}(z)$  and  $\hat{K}_{0, \bar{\alpha}}(z) < \hat{K}_{0, \alpha}(z)$ .

## Stability

Let  $E$  be  $[0, L]^d$ -periodic and  $L^1$ -close to stripes in direction  $e_1$ . Assume that  $E$  is not exactly a union of stripes, and the following happens



Then, for all  $\alpha < 1$  (i.e.  $p(\alpha) > d + 1$ ) and  $\tau$  sufficiently small, the interaction term  $\mathcal{I}_{\alpha, \tau, L}^1(E)$  is large, thus making the functional positive and the above configuration not energetically convenient.

Thanks for listening.  
**Any questions?**